

Examination Question Matrix

Risk Management

	Jun-26	Nov-25	Jun-25	Nov-24	Jun-24	Nov-23	Jun-23	Nov-22	Jun-22	Nov-21	Jun-21	Nov-20	Nov-19
Case Study	VERTIKA	Drummond's	Perfectly (Un)Statory	Furnished Family	Biscuits	DIFFAY	Risk Gold	Kiddle	BETS	Wind Powered Parts	You Need Me Fashion	Candlewicks	Shurps
Syllabus Area													
Part One													
Chapter 1	Q6 FRC	Q1: 2.6 Principal risk			Q1: 2-1.3 The importance of risk-management Reasons for risk-management regulation 4.2 The concepts off a risk-management framework	Q6: 1.2-1.3 The importance of risk-management Reasons for risk-management regulation	Q1:13.2 Common applications of risk-management practice Reasons for risk-management regulation		Q1: 1.3-1.4 Reasons for risk-management regulation The global regulatory environment for risk-management			Q2: 1.2 The importance of risk-management	
Chapter 2	Q1: 2.2.3 Q2: 2.5 The link between risk-management practices and governance regulation UK regulations: the Corporate Governance Code Q2: 2.5 Supply chain management		Q1a: 2.2-2.8 Corporate Governance	Q1b: 2.2-2.8 Corporate Governance		Q2: 2.5.14.4 Supply chain management Bribery / Corruption	Q2: 2-2.3 The link between risk-management practices and governance regulation UK regulations: the Corporate Governance Code		Q2: 2.3+2.5 UK regulations: the Corporate Governance Code EU regulations				
Chapter 3	Q1: 3.1-3.5		Q2: 3.4 Health and safety regulation	Q1: 3.1-3.5 Financial Regulators PRA/CA/Financial Conduct Authority	Q5: 1.1-3.3 Financial services regulation including Jersey and Guernsey		Q1: 3.4 Health and safety regulation		Q5: 3.3 Financial services regulation		Q1: 3.4 Health and safety regulation		
Chapter 4		Q5: 4.2-4.4 - Risk management frameworks and standards			Q1: 4.2 The concepts off a risk-management framework		Q1: 4.2 + 4.3 The concepts off a risk-management framework A detailed look at ISO 31000:2018	Q1b: 4.4 National standards and guidelines					Q5: 4.4 - National standards and guidelines
Chapter 5	Q4: 5.1-5.4	Q1.5: Key Risk Management Concepts Enterprise risk-management Roles and responsibilities for risk-management Q4: 5.4-5.5 Risk interconnectivity Risk perception	Q2: .5 Enterprise risk-management Roles and responsibilities for risk-management Q4: 5.4-5.5 Risk interconnectivity Risk perception	Q1a: 5.2 Definition of Risk Risk vs. Uncertainty	Q2: 5.3 Categorising risk	Q4: 5.3-5.5 Categorising risk Risk interconnectivity Risk perception		Q6: 5.5 Risk perception	Q2: 5.3 Categorising risk			Q3: 5.4-5.5 Risk interconnectivity Risk perception	Q1:5.3 Categorising risk
Chapter 6	Q1: 6.1-6.5			Q1a: 6.3 - 6.4 The role of risk-management in organisations Q6: 6.1-6.2 Evolution of Risk Management			Q2: 6.4 Linking risk to strategy	Q1a: 6.4 Linking risk to strategy			Q2: 6.3 - 6.4 The role of risk-management in organisations Linking risk to strategy		
Chapter 7	Q5: 7.1-7.4	Q3: 7.4.3-4.8 Risk-management processes, perspectives, and responsibilities	Q2: 7.4 Roles and responsibilities for risk-management	Q6: 7.3-7.4 Enterprise Risk Management Risk Cycle		Q5: 7.2-7.4 Enterprise risk-management Roles and responsibilities for risk-management				Q2: 7.4 Roles and responsibilities for risk-management		Q4a: 7.3 Enterprise risk-management	Q2: 7.4 Roles and responsibilities for risk-management
Chapter 8	Q3: 8.1-8.5			Q4: 8.3-8.5 Compliance standards 3 lines of Defence 5 lines of Assurance			Q6: 8.1 - 8.3 Role of governance and compliance within a risk-management context Components of an effective compliance-management framework	Q3: 8.4 Governance structures for risk-management			Q5: 8.6 Combining governance, risk and compliance	Q6: 8.2 - 8.3 Role of governance and compliance within a risk-management context Components of an effective compliance-management framework	
Part Two													
Chapter 9	Q2: 9.2-9.3-9.6	Q5: 9.6 - Risk Reporting	Q4: 9.2 Swift and process mapping 9.4-9.6 Q5: 9.4 - Quantitative and qualitative risk assessment Q5: 9.6 - Risk reporting	Q3: 9.3-9.6 Emerging Risk Identifying emerging risk Managing emerging risks	Q6: 9.3-9.4 + 10.3 Identifying emerging risk Managing emerging risks	Q1b: Risk and Control Self Assessment	Q1a: 9.2 Techniques for identifying risk events		Q3: 9.5 Q5: 9.3 - 9.4 Q3: Risk registers and risk and control self-assessments Q6: Identifying emerging risk Risk assessment techniques	Q6: 9.6 Risk reporting	Q5: 9.5 - 9.6 Risk registers and risk and control self-assessments Risk reporting	Q6: 9.2 Techniques for identifying risk events	Q5: 9.6 Risk reporting
Chapter 10		Q3a: 10.7 - Risk Culture Q3b: 10.2-10.5 - Risk Appetite		Q2: 10.1-10.8 Risk appetite Risk tolerance and capacity	Q2: + 10.2-10.5 risk management protocols Risk appetite		Q3: 10.2 - 10.5 Risk appetite as a mechanism for balancing risk and return	Q5: 10.7 Culture and risk culture	Q3: 10.2-10.3 Risk appetite as a mechanism for balancing risk and return Risk tolerance and capacity	Q6a: 10.7 Culture and risk culture			Q3: 10.2 + 10.7 Risk appetite as a mechanism for balancing risk and return Culture and risk culture
Chapter 11	Q3: 11.1-11.5				Q4(b): 11.2 / 11.4 / 11.5 Risk-based compliance monitoring Compliance-management tools Compliance-management tools	Risk-based compliance monitoring Compliance-management tools Compliance-management tools	Q3: 11.2 / 11.4 / 11.5 Risk-based compliance monitoring	Q4: 11.4 Risk-based compliance monitoring	Q4b: 11.5 Compliance-management tools			Q6: 11.4 Risk-based compliance monitoring	
Part 3													
Chapter 12		Q6: 12.7 - Risk Control Strategies 12.1-12.8	Q6: Risk control	Q5: 12.6 Retained Risk Financing	Q4a: 12.3 + 12.6 The four Ts of risk control Risk financing	Q1a: 12.7 Controlling Major Loss Events Reasons for risk control	Q5b: 12.2 - 12.3 Reasons for risk control The four Ts of risk control		Q4a: 12.8 + 12.6 The four Ts of risk control Risk financing			Q1: 12.5 Common risk treatment controls The four Ts of risk control	Q6: 12.3 The four Ts of risk control
Chapter 13	Q2: 13.1-13.7	Q4: 13.3 CSR	Q3: 13.2.2, 13.2.3 Operations or operational risk management Other risk-management					Q4: 13.2.5 Supply-chain risk management		Q5: 13.4 Regulatory reporting	Q4a: 13.3 Risk-management, corporate social responsibility and sustainability	Q4b: 13.3 Risk-management, corporate social responsibility and sustainability	Q4: 13.2.5 + 13.3 Risk-management, corporate social responsibility and sustainability
Chapter 14	Q2: 14.4 - 14.6 + 14.10 Bribery and corruption Political risk People Risk Corporate gifts Reputation and resilience	Q4: 14.4-14.7 - Political Risk				Q2: 14.4 Bribery / corruption		Q2: 14.4 - 14.6 + 14.10 Bribery and corruption Political risk Corporate gifts Reputation and resilience	Q4: 14.3 Financial crime		Q4b: 14.10 Reputation and resilience	Q4: 14.4 - 14.7 Bribery and corruption Political risk Corporate gifts People risk	
Chapter 15	Q6: 15.1-15.5		Q4: 15.2 Globalisation Complex and connected risks 15.3 - Managing emerging risk 15.4-15.5 Disruptive tech, changing knowledge	Q3: 15.3 - Managing emerging risk Digital Transformation	Q3: 15.5 Digital Transformation		Q4: 15.4 Changing knowledge and skills		Q3: 15.5 Q6: 9.3 + 15.3 Q3: Digital transformation Q6: Identifying emerging risk Managing emerging risks		Q6b: 15.2 Complex and connected risks		

1.5 International risk-management regulations and standards	Chapter 1
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World Bank Corporate Governance Group	
Corporate governance regulations in other nations	
1.2, 3.5 Sector regulation for EU member states	Chapter 3
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1.2, 5.6 Defining risk	
Other practical challenges	Chapter 5
6.2, 6.5, 6.6 The evolution of risk-management	
Creating value through risk	
The regulatory view of risk	Chapter 6
7.2 The standard risk-management process	Chapter 7
8.5 ISO 19000:2014 - compliance management systems	Chapter 8
	Chapter 9

10.4, 10.6, 10.8	
Expressing risk appetite	
Good-practice guidance on implementing risk appetite	
Assessing, monitoring and controlling risk culture	Chapter 10
11.2, 11.3	
Linking compliance and risk-management	
Roles and responsibilities for compliance	Chapter 11
12.4, 12.7, 12.8	
Risk-treatment techniques	
Controlling major loss events	
Controlling third-party risks	Chapter 12
13.2.2, 13.2.3	
Operations or operational risk-management	
Cyber risk-management	Chapter 13
14.2, 14.8, 14.9, 14.11, 14.12	
Crime	
Climate change risk	
Asymmetric risk	
The changing balance between tangible and intangible risks	
Shareholder activism	Chapter 14
	Chapter 15